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## PTO/SB/21 (09-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/664,485 Filing Date **TRANSMITTAL** September 17, 2003 First Named Inventor **FORM** Daisuke HABA **Art Unit** Not Yet Assigned **Examiner Name** Not Yet Assigned (to be used for all correspondence after initial filing) **Attorney Docket Number** 393032040600 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) **Status Letter** Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request Terminal Disclaimer** Identify below): copy of Japanese office action (with **Express Abandonment Request** Request for Refund partial English translation) copies of three (3) foreign patent CD, Number of CD(s) x Information Disclosure Statement documents **Certified Copy of Priority** Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application

,	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Name	MORRISON & FOERSTER LLP (Customer No. 25224)	
Signature	WW	_
Printed name	Mehran Arjomand	
Date	May 16, 2007 Reg. No. 48,231	

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 16, 2007 Signature: (Beverly S. Carter)

la-919148

Reply to Missing Parts under

37 CFR 1.52 or 1.53

I hereby certify that this paper is being deposited with the U.S. Postal Septice on the date shown below with sufficient postage as First Class Mail, in ap envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

Dated: May 16, 2007

Signature:

(Beverly S. Carter)

Patent Docket No. 393032040600

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Daisuke HABA

Serial No.: 10/664,485

Filing Date: September 17, 2003

**ELECTRO-ACOUSTIC APPARATUS** 

Examiner: Unknown

Group Art Unit: Unknown



## SUPPLEMENTAL INFORMATION DISCLOSURE **STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO/SB/08a/b were cited in a Japanese office action mailed on April 3, 2007, directed to a counterpart foreign application and have not been previously cited. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

This Information Disclosure Statement is submitted:

Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

Applicant would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicant petitions for any required relief including extensions of time and authorize the Director to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 393032040600.

By

Dated: May 16, 2007

A. The

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Respectfully submitted,

Mehran Arjomand

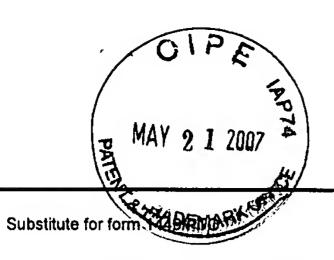
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known			
Application Number	10/664,485		
Filing Date	September 17, 2003		
First Named Inventor	Daisuke HABA		
Art Unit	Unknown		
Examiner Name	Unknown		
Attomey Docket Number	393032040600		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY			T <sup>6</sup>
	1.	JP-61-255577	11-13-1986	Mitsubishi Electric Corp	with English abstract	
	2.	JP-2002-151858	05-24-2002	Matsushita Electric Ind Co Ltd	with English abstract	
	3.	JP-3-90480	09-13-1991		with partial English translation	1

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	
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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.